

Curriculum Vitae

NAME: DANTE P. SORIANO

DATE OF BIRTH: 04 January 1961

NATIONALITY: Filipino

CONTACT DETAILS

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| Permanent Address: | 101 Jojo St. corner Quirino Highway, Brgy. Baesa, Quezon City | | |
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FIELDS OF EXPERTISE

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| <ul style="list-style-type: none">• Internal Audit• External Audit• Accounting• Operations | <ul style="list-style-type: none">• Systems Design• Policy Formulation• Inventory Management• Tax Consultancy |
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ACADEMIC QUALIFICATIONS

Passed the Examinations for Certified Internal Auditor (CIA) – 2003

Passed the Board Examinations for Certified Public Accountants (CPA) – 1988

Passed the IIA, Inc. (USA) Accreditation as Quality Assurance Reviewer (QAR) for Internal Audit - 2007

With earned MBA units at Ateneo Graduate School of Business, Makati City – 1998 to 1999

Bachelor of Science in Commerce, Major in Accounting. University of Pangasinan - 1981

LANGUAGES & DEGREE OF PROFICIENCY

Fluent in Filipino and English

PROFESSIONAL AFFILIATIONS

Member, Institute of Internal Auditors Philippines (IIAP)

Member, Board of Director IIAP – 2004 to present

Member, Philippine Institute of CPAs (PICPA)

TRAININGS & SEMINARS

Risk Assessment – IIAP (Institute of Internal Auditors Philippines)

Evaluating Internal Controls: A COSO Based Approach - IIAP

Performing an External Quality Assessment Course (Team Leader/Validator Training) – IIA, Inc.

Trainer's Training – IIAP

Computer Audit – IIAP

PROFESSIONAL EXPERIENCES

A. Date: August 2001 to present

Position: Managing Partner

Company: Soriano Soriano & Company, CPAs & Management Consultants

With four audit/accounting associates, manages the company's day to day operations:

- Internal Audit. Conducts, in an outsource capacity for clients, internal audit activities and assessment of company's internal controls.
- External Audit. Conducts year-end audit and prepares client's Financial Statements and tax requirements.
- Other consulting services:
 - Assists in file maintenance and recording of business transactions.
 - Assists in the resolution of tax, accounting, and audit issues through research and formulation of professional opinions and documentation.

B. Date: January 1998 – July 2001

Position: Senior Audit Manager

Company: Global Brands Company, Inc.

Reported to AVP Audit, responsible in managing two audit supervisors and eight rank and file employees.

- Key result areas include financial and operations audit.
- Reviewed and approved audit work and reports and discussed audit findings with the concerned operational units. Submit final audit reports and recommendations to management.
- Initiated and assisted in the review and implementation of policies, systems, and procedures.

C. Date: April 1996 to December 1997

Position: Manager, Inventory Planning and Control (IPC)

Company: W. Brown and Company, Inc.

Reported to VP-Logistics, managed an IPC supervisor, eight branch operations supervisors, and 25 operations personnel.

- Headed and made operational the newly-created IPC Department.
- Areas of responsibility included inventory management, documentation controls, order processing, forecasting and inventory planning.

D. Date: January 1995 to March 1996

Position: Operations Manager

Company: W. Brown & Company, Inc. (Direct Sales Division)

Reported to EVP-Direct Sales, managed six operations supervisors and 40 operations personnel/employees.

- Functional responsibilities included directing and managing daily operations of six branches nationwide with over 80,000 customer-dealers.
- Planned, coordinated, and implemented start-up programs.
- Participated in the development of short and long-term plans that addressed future corporate growth and customers' needs.

EXPERIENCES HIGHLIGHTING STRENGTHS:

1. Internal audit , internal audit planning

As Senior Audit Manager (Global Brands Co., Inc.),

- Developed and prepared the Internal Audit Department's comprehensive and practical audit programs and work schedules.
- Prepared corresponding annual budget based on agreed-upon audit plan.

As Senior Audit Manager and as Outsource Internal Auditor/Consultant (clients include Petron Foundation, Inc., Healthy Options, Engelhart Mftg. Corp., Global Mftg. Corp., among others):

- Conducted internal audit activities and assessment of company's internal controls.
- Ensured attainment of audit objectives through active and hands-on participation in audit engagements.

As member of a 3-man project team by the Queensland Univ. of Technology (Australia) for Philippine-Australia Partnership for Economic Governance Reforms(PEGR).

- Participated in the improvement and harmonization of Philippine Government's GIAM (Generic Internal Audit Manual) and NGICS (National Guidelines on Internal Control Systems).
- Task Objectives: For effective and efficient conduct of internal audit in the government sector:
 - To determine inconsistencies between GIAM and NGICS.
 - To recommend and formulate additional internal audit policies and guidelines.
 - To integrate GIAM and NGICS into a single document/manual.

2. Risk assessment

As Senior Audit Manager:

- Identified, rated, and ranked the risks potentially impacting the company.
- Identified and defined, with management, the action plans in order to minimize or reduce the potential impact and better control of risks.

3. Quality management

- As representative of the Internal Audit, had joined various task forces created by management to address emerging issues. Actively participated in brainstorming and problem solving activities.
- Initiated corrective measures to improve efficiency and effectiveness of company's operations.

4. Process/systems analysis and documentation

As Senior Audit Manager and as Outsource Internal Auditor/Consultant:

- Reviewed and evaluated the company's operations and accounting systems to improve the effectiveness of risk management, controls, and governance processes.
- Developed and formulated internal control guidelines, policies and procedural manuals.

As Inventory Planning and Control Manager:

- Spearheaded systems development and implementation of inventory management (inventory forecasting, aging and classification).
- Assisted in the development of Oracle Inventory Module.

As Operations Manager:

- Prepared and implemented policies and procedural manuals on various phases of operations.
- Coordinated training programs for operations personnel.

5. Monitoring and evaluation

- Assisted in the review and implementation of policies, systems, and procedures in order to integrate proper check and balance.
- Obtained accomplishment of audit programs in accordance with acceptable audit standards and agreed-upon schedules and timelines.

6. Capacity building skills – coaching and mentoring, training facilitation

As Senior Audit Manager:

- Supervised audit groups handling accountability audits, compliance and operations reviews, and review of systems and procedures.
- Reviewed and approved audit work activities.

As Inventory Planning and Control Manager:

- Spearheaded the implementation of operational activities of the newly-created IPC Department.

As Operations Manager :

- Planned, coordinated, and implemented start-up programs during setup of branches/outlets nationwide.
- In coordination with the Human Resource Department, facilitated training programs of personnel to address operational effectiveness and efficiency.

7. Policy development – formulating policies and procedures, manualization, etc

- Developed internal control guidelines, formulate company policies and procedures and prepare procedural manuals.
- Prepared and implemented procedural manuals on various phases of operations.

8. Communication skills

- Regular communication and discussion during the course of audit (audit findings and recommendations) with various levels of the organization from the President to the senior and middle managers, down to the rank and file levels.
- Facilitated and conducted seminar activities among staff.
- Presented annual departmental budget to management for approval.
- Conducted orientation activities to the organization regarding the Department's roles and responsibilities.

9. Change management

- Conducted analysis on the effectiveness of the company's organizational set-up.
- Implemented necessary changes to ensure attainment of company's goals in line with the company's mission and vision.
- Monitored the sustainability of changes and give feedbacks and advice to management as necessary.

REFERENCES:

Ms. Celia V. Guzman
Position: General Manager
Company: Yearnings Outsourcing Cooperative
Work Phone: 633-23-69
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Mr Leonardo J. Matignas, Jr.
Position: Partner, Risk Advisory Services
Company: Ernst & Young/ SyCip Gorres Velayo & Co.
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